12-16-04

FORM

	Upper the Paperwork Reduction Act of 1995, no persons are required to res	Approved	PTO/SB/21 (09-04) for use through 07/31/2006. OMB 0651-0031 Office: U.S. DEPARTMENT OF COMMERCE	DI.
	S E	Application Number	09/470,615-Conf. #6371	91
	TRANSMITTAL	Filing Date	December 22, 1999	
9	FORM	First Named Inventor	Masao Kawaguchi	
	(to be used for all correspondence after initial filing)	Art Unit	1763	
	(,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	Examiner Name	R. R. Bueker	
	Total Number of Pages in This Submission	Attorney Docket Number	49375CPA(70868)	

Total Number	r of Pages in This Submiss	ion	Attorney Do		49375CPA(70868)			
	ENCLOSURES (Check all that apply)							
x Fee Transr	mittal Form	Drawing(s)			After Allowance Communication to TC			
Fee /	Attached	Licensing-rel	ated Papers		Appeal Communication to Board of Appeals and Interferences			
Amendmer	nt/Reply	Petition			Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)			
After	Final	Petition to Co Provisional A			Proprietary Information			
Affida	avits/declaration(s)		rney, Revocation rrespondence		Status Letter			
Extension of	of Time Request	Terminal Disc	claimer		X Other Enclosure(s) (please Identify below):			
Express At	pandonment Request	Request for Refund			PTO/SB/08a/b (with 5 references) Return Receipt Postcard			
x Information	n Disclosure Statement	CD, Number	of CD(s)					
Certified Control Document(opy of Priority s)	Landso	ape Table on	CD				
	issing Parts/ Application	Remarks						
Reply 37 C	y to Missing Parts under FR 1.52 or 1.53							
	·							
	SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT							
Firm Name	Firm Name EDWARDS & ANGELL, LLP							
Signature	Signature Allen Alle							
Printed name	Printed name William J. Daley, Jr.							
Date			Reg. No.	35,487				



Complete if Known **FEE TRANSMITTAL** 09/470,615-Conf. #6371 Application Number December 22, 1999 Filing Date For FY 2005 Masao Kawaguchi First Named Inventor (Reflects USPTO filing fees in effect from 12/__/04) R. R. Bueker **Examiner Name** Applicant claims small entity status. See 37 CFR 1.27 1763

Art Unit

TOTAL AMOUNT OF PAYMEN	T (\$)	180.00	Attorney Docket No.	49375CF	PA(70868)	
METHOD OF PAYMENT (check all that apply)			FEE CALCULATION (continued)			
Check Credit Ca	rd Mor	ney Order	2. EXTRA CLAIM FEES	S		_
X Deposit Account	Non	e ¬	Fee Description		<u>Fee (\$)</u>	Small Entity Fee (\$)
Deposit Account Number 04-1	105		Each claim over 20		50	25
Deposit Account Edwards & A	ngell, LLP]	Each independent claim over	er 3	200	100
Name The Director is hereby authorized to:	(check all that apply	ν Γ	Multiple dependent claims		360	180
X Charge fee(s) indicated below	(0.000.00.00.00.00.00.00.00.00.00.00.00.	,,	For Reissues, each claim ov more than in the original		50	25
Charge fee(s) indicated below,	•	-	For Reissues, each independ		200	100
Charge any additional fee(s) or under 37 CFR 1.16 and 1.17	any underpayment	of tee(s)	more than in the original	patent		
X Credit any overpayments			Total Claims E	Extra Claims	Fee (\$)	Fee Paid (\$)
to the above-identified deposit account	!.				х	=
Other (please identify):			Indep. Claims E	xtra Claims	Fee (\$)_	Fee Paid (\$)
FEE CALCU	LATION		-= _		×	=
1. BASIC FILING FEE			Multiple Dependent Clair	<u>ns</u>	Fee (\$)_	Fee Paid (\$)
Fee Description Fe	e (\$) <u>Small</u>	Fee Paid (\$)				
Utility Filing Fee	300 150			Su	btotal (2)	\$0.00
Design/Design CPA Filing Fee 2	200 100		3. OTHER FEES		Small Entity	
	200 100		Fee Description	Fee (\$)	Fee (\$)	Fee Paid
	150		1-month extension of time	120	60	
	200 100		2-month extension of time	450	225	
1a. ADDITIONAL FILING FEES			3-month extension of time	1020	510	
	500 250		4-month extension of time	1,590	795	
	00 50		5-month extension of time	2,160	1,080	
Plant Search Fee 3	150		Information disclosure stmt. fee	180	180	180.00
	500 250		37 CFR 1.17(q) processing fee	50	50	
•	200 100		Non-English specification	130	130	
Design Examination Fee	30 65		Notice of Appeal	500	250	
Plant Examination Fee 1	60 80		Filing a brief in support of appea	al 500	250	
	300		Request for oral hearing	1,000	500	
Application Size Fee, each addt'1 50 sheets > 100 sheets	250 125	·	Other:			
Subtotal (1)	and (1a.)	\$0.00		Su	btotal (3)	\$ 180.00
SUBMITTED BY	(
Signature William	- Da	le	Registration No. (Attorney/Agent) 35,487	7 Telephor	ne (617)	439-4444
Name (Print/Type) William J. Dale	ey, Ji	20		Date	Decem	ber 14, 2004

Docket No.: 49375CPA(70868)

Confirmation No.: 6371

Examiner: R. R. Bueker

(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Masao Kawaguchi

Application No.: 09/470,615

Filed: December 22, 1999 Art Unit: 1763

For: MECHANISM AND METHOD FOR

SUPPORTING SUBSTRATE TO BE

COATED WITH FILM

INFORMATION DISCLOSURE STATEMENT (IDS)

MS Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 CFR 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is filed after the mailing date of a Final Office Action or Notice of Allowance, whichever occurred first, but on or before payment of the Issue Fee (37 CFR 1.97(d)). Applicant(s) hereby petition(s) that the Information Disclosure Statement be considered.

I hereby certify that each item of information contained in this Information

Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

Docket No.: 49375CPA(70868)

Application No.: 09/470,615

lation of the non-English language

A summary/abstract and/or partial translation of the non-English language references is enclosed.

2

A copy of only those references listed below is attached:

US Doc No.	Patentee	Publication Date
5,753,923	Mera et al.	May 19, 1998

For. Doc No.	Ctry	Patentee/Applicant	Publication Date
62-32976	JP	ASAHI GLASS CO., LTD	August 24, 1987
08-329879	JP	HITACHI LTD	December 13, 1996
2-46361	JP	NEC KANSAI, LTD	March 29, 1990
11-222674	JP	ADVANCED DISPLAY	August 17, 1999

As to JP-A-107076 that was listed with enclosed Notification Refusal, this document was previously submitted by Applicant in the Information Disclosure Statement dated April 10, 2000. Also, JP-A-8-329879 relates to U.S. Patent 5,753,923.

Please charge our Deposit Account No. 04-1105 in the amount of \$180.00 covering the fee set forth in 37 CFR 1.17(p). The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 04-1105, under Order No. 49375CPA(70868).

Dated: December 14, 2004

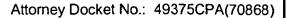
Respectfully submitted,

William J. Daley, Jr.

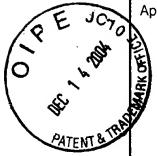
Registration No.: 35,487 EDWARDS & ANGELL, LLP

P.O. Box 55874 Boston, 02205 (617) 439-4444

Attorney for Applicant



Telephone Number



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I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail, Airbill No. EV 437821800 US in an envelope addressed to:

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December 14, 2004 Date

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Registration Number, if applicable

each submitted paper.

Information Disclosure Statement (2 pages)

IDS (Citation) by Applicant (5 References) (1 page)

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Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/B/PTO			<u> </u>	Complete if Known		
				Application Number	09/470,615-Conf. #6371	
IN	NFORMATI	ON DISC	CLOSURE	Filing Date	December 22, 1999	
S	TATEMEN'	T BY AF	PPLICANT	First Named Inventor	Masao Kawaguchi	
				Art Unit	1763	
(Use as many sheets as necessary)			ecessary)	Examiner Name	R. R. Bueker	
Sheet	1	of	1	Attorney Docket Number	49375CPA(70868)	

	U.S. PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear			
	AA	US-5,753,923	05-19-1998	Mera et al.				

	FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No.1	Foreign Patent Document Country Code³-Number⁴-Kind Code⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear				
	ВА	JP-62-32976	08-24-1987	ASAHI GLASS CO., LTD		П			
	ВВ	JP-08-329879	12-13-1996	HITACHI LTD					
	ВС	JP-2-46361	03-29-1990	NEC KANSAI, LTD					
	BD	JP-11-222674	08-17-1999	ADVANCED DISPLAY INC					

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

	NON PATENT LITERATURE DOCUMENTS							
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²					

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Examiner	Date
Signature	Considered

¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English language Translation is attached.